



PATENT

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November 27, 2001  
Date

Tracy Gutscher

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Vishnu K. Agarwal and  
Scott G. Meikle

Attorney Docket No.: 500453.04

Serial No. : 09/970,100

Group Art Unit : 1762

Filed : October 2, 2001

Examiner : Not yet assigned

Title : POLISHING PADS AND PLANARIZING MACHINES FOR MECHANICAL OR  
CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE  
SUBSTRATE ASSEMBLIES, AND METHODS FOR MAKING AND USING SUCH  
PADS AND MACHINES

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

We hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to our knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

RECEIVED  
JAN 14 2002  
TC 1700

Please acknowledge receipt of this Information Disclosure Statement and kindly  
make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



Steven H. Arterberry  
Registration No. 46,314

Enclosures:

Postcard  
Form PTO-1449; Cited Reference (1)

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**FEE TRANSMITTAL SHEET  
(FOR FY 2001)**

Complete if Known	
Application No.	09/970,100
Filing Date	October 2, 2001
First Named Inventor	Vishnu K. Agarwal
Group Art Unit	1762
Examiner	Not yet assigned
Atty. Docket Number	500453.04

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**METHOD OF PAYMENT (Check One)**

1. **[X]** The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2.  Check Enclosed

## **FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity	Small Entity			
<b>Fee Code</b>	<b>Fee (\$)</b>	<b>Fee Code</b>	<b>Fee (\$)</b>	<b>Fee Description</b>
101	740	201	370	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee
<b>Subtotal (1)</b>				<b>\$ 0</b>

## **2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total	<u>11</u>	- <u>20</u>	= <u>0</u>	x <u>\$ 18</u> = <u>\$ 0</u>
Ind.	<u>6</u>	- <u>6</u>	= <u>0</u>	x <u>\$ 84</u> = <u>\$ 0</u>
IMultiple Dependent Claims			x <u>\$</u>	= <u>\$</u>
				<b>Subtotal (2)</b> <u>\$ 0</u>

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

### **3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description	Fee paid
Fee Code		Fee Code			
105		130	205	65 Surcharge - Late filing fee or oath	\$
127		50	227	25 Surcharge - late provisional filing fee or cover sheet	\$
139		130	139	130 Non-English specification	\$
147		2,520	147	2,520 For Filing a Request for Reexamination	\$
195		300	196	300 Publication (early or Republication)	\$
115		110	215	55 Extension for reply within first month	\$
116		400	216	200 Extension for reply within 2 <sup>nd</sup> month	\$
117		920	217	460 Extension for reply within 3 <sup>rd</sup> month	\$
118		1,440	218	720 Extension for reply within 4 <sup>th</sup> month	\$
128		1,960	280	980 Extension for reply within 5 <sup>th</sup> month	\$
120		320	220	160 Filing a brief in support of an appeal	\$
121		280	270	140 Request for oral hearing	\$
148		110	248	55 Terminal Disclaimer Fee	\$
140		110	240	55 Petition to revive – unavoidable	\$
141		1,280	241	640 Petition to revive – unintentional	\$
142		1,280	242	640 Utility/Reissue issue fee (+ advance copies)	\$
143		460	243	230 Design issue fee (+ advance copies)	\$
122		130	122	130 Petitions to the Commissioner	\$
123		50	123	50 Petitions related to provisional applications	\$
126		180	126	180 Submission of IDS	\$
581		40	81	40 Recording each patent assignment per property (times number of properties)	\$
179		740	279	370 Request for Continued Examination (RCE)	\$

**Total Amount of Payment:** \$ 0

**Submitted by:**

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Signature: Steve Atkinson

Date: 11/27/01